



CCM TRANSPARENCY MEASURES CONCEPT NOTE

Enhancing Implementation of Action 6 of the Dubrovnik Action Plan (Transparency Measures) up to the 7th Meeting of States Parties (7MSP)

1. Background to Work Plan Context

The 2008 Convention on Cluster Munitions (CCM) is a legally binding international treaty that comprehensively prohibits the use, production, stockpiling and transfer of cluster munitions. Cluster Munitions are unacceptable for two main reasons: - firstly, they have wide area effects and are unable to distinguish between civilians and combatants and secondly, their use leaves behind large numbers of unexploded ordnance which continue to kill, injure and maim civilians, obstruct economic and social development for years after use.

As of 10 October 2016, 22 States parties have still not submitted their initial transparency reports while 22 states still have to submit their overdue 2015 transparency report. Therefore a total of 44 reports are still outstanding.

To guide States Parties effectively implement the Convention during the period 1 January 2016 to 31 December 2020, at the September 2015 First Review Conference of States Party to the CCM, States agreed to the five year Dubrovnik Action Plan (DAP). The DAP elaborates the priorities established by the States Parties through the various actions to be undertaken and the expected key results to be derived from these actions. Transparency Reporting on implementation of the CCM is the DAP's Action 6 and will provide the primary basis on which this concept note's activities to achieve the outlined objectives will be derived.

As one of the most important and effective tools in measuring success in the implementation of the Convention, the purpose Action 6 of the DAP is to encourage States parties to fulfill their reporting obligations in time – both initially and annually; that States make practical use of reporting both at formal and informal fora and that these actions will by the 2nd Review Conference of the Convention in 2020 have

resulted in an increase in the use of the reporting template as well as in the rate of timely submissions of Article 7 reports that are of improved quality.

2. Objectives

- Increased number of States Parties have submitted their initial and annual Article
 7 reports by the 7MSP
- Increased rate of timely submission of the annual reports

3. Strategy

- Identification of target states to assist in report submission (target States that have Geneva Missions and where Costa Rica has diplomatic presence)
- Interact through bilateral meetings (In capitals, Geneva, alongside disarmament meetings)
- Outreach to Ministers of Foreign Affairs/national disarmament reporting focal points
- Identify obstacles to timely submission of the reports in target states and propose solutions
- Collaborate with national and international civil society to encourage reporting
- Increase awareness of the benefits of reporting through the use of existing social media platforms
- Send reminders for submission of the 2016 reports in advance of 30 April 2017

4. <u>Expected Outputs</u>

- At least twelve (12) out of 22 States parties have submitted their overdue initial report by the 7MSP
- All 20 States parties have submitted their overdue 2015 annual report before the 7MSP
- That the timely submission of 2016 annual reports will exhibit a higher reporting rate than that of the 2015 reporting rate
- That the quality of the 2016 annual reports will be of a higher quality
- More CCM states have used the annual reports to provide qualitative information and share best practices

5. Estimated Budget

Minimal cost as most support will be via email and telephone

6. <u>Strategy Implementers</u>

Costa Rica - Coordinator on Transparency Reporting CCM Implementation Support Unit

7. <u>Potential Implementing Partners</u>

- Geneva Mission delegations of the identified States
- National reporting focal points
- Civil Society
- Other international stakeholder organisations